

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC5009 PCT 1

In Re Application Of: **Romenesko et al.**
10/512733

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
to be assigned	to be assigned	to be assigned	00137		

Title: **FLAME RETARDANT COMPOSITIONS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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 Title: **FLAME RETARDANT COMPOSITIONS**
Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
 ☐ Charge the amount of _____
 ☐ Credit any overpayment.
 ☐ Charge any additional fee required.
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KELLY J. SMITH

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Patricia M Scaduto
 Signature

 Dated: Oct 27, 2004

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. DT09 Rec'd DC5009 PCT I APPLICATION NO. PCT/PTO 28 OCT 2004 APPLICANT(S) Romenesko et al. FILING DATE 10/512733 GROUP ART UNIT
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,972,512	Oct. 26, 1999	Boisvert et al.	428	409	
	5,904,791	May 18, 1999	Bearinger et al.	156	89.11	
	6,615,272	Oct. 26, 1971	Collins et al.	23	366	
	6,518,357	Feb. 11, 2003	Rajagopalan et al.	524	588	
	5,352,747	Oct. 4, 1994	Ohtsuka et al.	525	464	

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0018486A1	Aug. 30, 2001	Lichtenhan et al.	524	588	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1 127 921	29.08.2001	EUROPEAN	C08L	101/00		
	1 026 204	09.06.2004	EUROPEAN	C08L	69/00		
	0 918 073	26.05.1999	EUROPEAN	C08L	101/00		
	0 829 521	18.03.1998	EUROPEAN	C08L	101/02		
	1 094 093	25.04.2001	EUROPEAN	C08L	69/00		

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		✓ NEC Publication Polymers Advanced Technology Vol. 9, pages 593-600 (1998).
		NEC Publication NEC Research & Development Vol. 39, No. 2, April 1998.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
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ATTY DOCKET NO.
DC5009 PCT

DT09 Rec'd PCT/PTO 26 OCT 2004

Romenesko et al.

10/512733

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GROUP ART

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,484,867	Jan. 16, 1996	Lichtenhan et al.	528	9	
	5,100,958	Mar. 31, 1992	Fuhr et al.	525	66	

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	2000-212460	2000.8.2	JAPAN	C08L	101/16		✓
	✓	11-140294	1999.5.25	JAPAN	C08L	69/00		✓
	✓	2000-226527	2000.8.15	JAPAN	C08L	101/02		✓
	✓	2001-31771	2001.2.6	JAPAN	C08J	3/22		✓
	✓	10-139996	1998.5.26	JAPAN	C08L	69/00		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	↓	2000-302961	2000.10.31	JAPAN	C08L	69/00		✓
	↓	11-217494	1999.8.10	JAPAN	C08L	69/00		✓
	✓	3-48738	1991.3.1	JAPAN	G01L	3/10		✓
	↓	WO99/28387	10.06.99	INTERNATIONAL PCT	C08L	69/00		✓
	↓	WO99/40158	12.08.99	INTERNATIONAL PCT	C08L	101/00		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	✓	ABSTRACT for JP2001152004A, 20010605, Horie et al., "Flame-retardant resin composition useful in electrical appliances comprises polycarbonate resin, silicon resin constructed from siloxane units and anti-drip agent".
	✓	Silicone Handboo, Chapters 12 and 13 (pp. 466-515) edited by Kunio Ito (Nikkan Kogyo Shinbun-sha (1990)). This is in Japanese - no translation.

EXAMINER	DATE CONSIDERED
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